

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Michael S. Pazar

Appln. No.: 10/633,394

Filed: July 31, 2003

For: RECORD RECEPTACLE FOR A

BURIAL VAULT

Attorney Docket No: 568/261

Examiner: Not yet assigned

Art Unit: 3677

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97, Applicant hereby cites the following reference(s):

No.	Date of Publication	Patentee/Applicant/Assignee
6,754,940	June 29, 2004	Boots
6,463,703	October 15, 2002	Mattis
6,363,635	April 2, 2002	England
6,279,212	August 2, 2001	Balch
6,088,955	July 18, 2000	Nelson et al.
6,088,973	July 18, 2000	Weiss
5,875,529	March 2, 1999	Ollier
5,675,876	October 14, 1997	Benedict et al.
5,088,167	February 18, 1992	Rahe
4,697,316	October 6, 1987	Semon
3,435,494	April 1, 1969	Pothier
2,075,859	April 6, 1937	Martin

For the Examiner's convenience, Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2)(as modified by the Commissioner's Notice dated July 11, 2003). Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

Oct. 1,2004

Vincent J. Gnoffo (Reg. No. 44,714)

BRINKS HOFER GILSON &LIONE

6	PE	JCI	é
	, _{et} 0	L 2004	SEELCE .
B	, 100 2		<i>a b c c c c c c c c c c</i>
•	ENT &	PART	

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: 10.104 Name: Vincent J. Gnoffo, 44,714 Signature: Signature: 10.104 Name: Vincent J. Gnoffo, 14,714 Name: Vincent

BRINKS ΝE

Date.	IV. I.V	_IVallieVII	Cent J. Gil	5igi	lature:	- UEA		211		
In re	IN Appln. of:			STATES PAT	ENT AND	TRAD	EMARK C	PFI	CE	<u>&LION</u>
Appli	n. No.:	10/633	,394				Examir	ner:	Not yet	assigned
Filed	:	July 31	, 2003	3			Art Un	it:	3677	
For:		RECO VAUL1		ECEPTACLE FO	OR A BUF	RIAL				
Attor	ney Docket	l No:	568/2	261						
P. O.	nissioner for F Box 1450 ndria, VA 223					7	RANSI	ЛIТ	TAL	
Sir:										
Attacl	hed is/are:									
Transmittal Cover Letter (1p. Filed in Dup.); Information Disclosure Statement (2pp.); PTO Form 1440 (1p.)										
\boxtimes	Return Rece	ipt Postc	ard							
Fee c	alculation:									
\boxtimes	No additiona	I fee is re	quired.							
	Small Entity.									
	An extension	n fee in ar	n amour	nt of \$ for a _	month	extensio	n of time un	der 3	37 C.F.R.	§ 1.136(a).
	A petition or	processir	ng fee ir	n an amount of \$	under 3	7 C.F.R.	§ 1.17(_).		
	An additiona	I filing fee	has be	en calculated as sh	nown below:	:				
						Sm	all Entity		Not a S	mall Entity
	Claims Rer After Ame			Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'I Fee
Total			Minus			x \$9			x \$18=	
Indep.			Minus			x 44			x \$88=	
First P	resentation of N	/ultiple De	p. Claim			+\$145	=		+ \$300=	
						Tota	1 \$		Total	\$
Fee p	ayment:					\				
	A check in th	e amoun	t of \$	is enclosed.						
	Payment by	credit car	d in the	amount of \$	(Form PTO	-2038 is	attached).			
	§ 1.16 and (including a	any pate ny exten	nt appli sion fe	rized to charge pay ication processing se required to ens	fees under	37 CFF	R § 1.17 as	socia	ated with	this paper

BE STATE OF THE PERSON OF THE

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313,1450, on the below date:

Date: | Date: | Name: Vincent J. Gnoffo, 44,714 | Signature: | Vincent J. Gnoffo, 44,714 | Signature:

BRINKS HOFER GILSON &LIONE

								- A 71			
In re	Appln. of:			STATES PATE azar	ENT AND	TRADI	MARK C	r(Fi	CE	&LION	
Appl	n. No.:	10/633,394					Examiner: Not yet assigned				
Filed	l:	July 31	, 2003	3		1	Art Un	it:	3677		
For:	•	RECO VAULT		ECEPTACLE FO	OR A BUI	RIAL					
Attor	ney Docke	et No:	568/2	261							
Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL											
Sir:											
Attac	hed is/are:										
\boxtimes	Transmittal (1p.)	Cover Let	ter (1p.	Filed in Dup.); Infor	mation Dis	sclosure S	atement (2p	p.);	PTO Forn	n 1440	
\boxtimes	Return Rec	eipt Postc	ard								
	alculation:										
\boxtimes	No addition	al fee is re	equired.								
☐ Small Entity.											
_											
	An extension	on fee in a		nt of \$ for a _					37 C.F.R.	§ 1.136(a).	
	An extension A petition o	on fee in ar r processir	ng fee ir	n an amount of \$	under	37 C.F.R.			37 C.F.R.	§ 1.136(a).	
	An extension A petition o	on fee in ar r processir	ng fee ir		under	37 C.F.R.			37 C.F.R.	§ 1.136(a).	
	An extension A petition of An addition	on fee in an r processin al filing fee	ng fee ir	n an amount of \$ een calculated as sh	under	37 C.F.R. v:			T	§ 1.136(a).	
	An extension A petition o	on fee in and reprocessing all filing feet the maining	ng fee ir	n an amount of \$	under	37 C.F.R. v:	§ 1.17(T		
	An extension An addition	on fee in and reprocessing all filing feet the maining	ng fee ir	n an amount of \$een calculated as sh	under nown belov	37 C.F.R. v:	§ 1.17(all Entity	_).	Not a S	mall Entity	
	An extension An addition Claims Reafter Am	on fee in and reprocessing all filing feet the maining	ng fee ir e has be	n an amount of \$een calculated as sh	under nown belov	37 C.F.R. v: Sm	§ 1.17(all Entity Add'l Fee	_).	Not a S	mall Entity	
Total Indep.	An extension An addition Claims Reafter Am	on fee in al r processin al filing fee emaining endment	ng fee ir e has be Minus Minus	een calculated as sh Highest No. Previously Paid For	under nown belov	37 C.F.R. v: Sm Rate x \$9	§ 1.17(all Entity Add'l Fee	_).	Not a S Rate x \$18=	Mall Entity Add'l Fee	
Total Indep.	An extensic A petition o An addition Claims Re After Am Presentation of	on fee in al r processin al filing fee emaining endment	ng fee ir e has be Minus Minus	een calculated as sh Highest No. Previously Paid For	under nown belov	37 C.F.R. v: Sm Rate x \$9 x 44	§ 1.17(all Entity Add'I Fee	_).	Not a S Rate x \$18= x \$88=	mall Entity Add'l Fee	
Total Indep.	An extension A petition of An addition Claims Real After Am Presentation of Coayment:	on fee in all r processing al filing fee emaining endment	Minus Minus Minus P. Claim	een calculated as sh Highest No. Previously Paid For	under nown belov	37 C.F.R. v: Sm Rate x \$9 x 44 +\$145	§ 1.17(all Entity Add'I Fee	_).	Not a S Rate x \$18= x \$88= + \$300=	mall Entity Add'l Fee	
Total Indep.	An extension A petition of An addition Claims Real After Am Presentation of Payment: A check in	on fee in and reprocessing al filing feet the maining endment the Multiple Desired	Minus Minus P. Claim	een calculated as shapen calcu	Present Extra	37 C.F.R. v: Sm Rate x \$9 x 44 +\$145	§ 1.17(all Entity Add'I Fee = = = = = = = = = = = = = = = = = =	_).	Not a S Rate x \$18= x \$88= + \$300= Total	mall Entity Add'l Fee	
Total Indep.	An extension A petition of An addition Claims Real After Am Presentation of Payment: A check in	on fee in ai r processii al filing fee emaining endment Multiple De the amoun	Minus Minus Minus Air of \$ it Account	een calculated as sh Highest No. Previously Paid For	Present Extra	37 C.F.R. v: Sm Rate x \$9 x 44 +\$145	§ 1.17(all Entity Add'I Fee	_).	Not a S Rate x \$18= x \$88= + \$300= Total	mall Entity Add'l Fee	
Total Indep.	An extension A petition of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition	on fee in and reprocessing al filing feet amount amount the amount rege Deposor this purp	Minus	een calculated as shapen calcu	Present Extra	37 C.F.R. v: Sm Rate	§ 1.17(_).	Not a S Rate x \$18= x \$88= + \$300= Total	mall Entity Add'l Fee	
Total Indep. First F	An extension A petition of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition	on fee in and reprocessing al filing feet amount the amount rige Deposor this purply credit can processing the research and the amount processing the proces	Minus	an amount of \$een calculated as sheen calculated as sheen calculated as sheen calculated as sheen calculated for previously Paid For is enclosed. unt No. 23-1925 in the amount of \$ in the prize of the charge payers.	Present Extra he amount (Form PT)	37 C.F.R. v: Sm Rate	§ 1.17(or or this	Rate x \$18= x \$88= + \$300= Total Transmit	mall Entity Add'l Fee \$ tal is	
Total Indep. First F	An extension A petition of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition Presentation of An addition Please chaenclosed for Payment by The Director § 1.16 and	on fee in and reprocessing al filing feet amount the amount rige Deposor this purply credit call or is herebany pate	Minus	is enclosed. unt No. 23-1925 in the amount of \$ eramount of \$ prized to charge payication processing	Present Extra he amount (Form PT) yment of a fees under	37 C.F.R. v: Sm Rate	§ 1.17(or or f this	Rate x \$18= x \$88= + \$300= Total Transmit quired unated with	Add'l Fee \$ tal is der 37 CFR	
Total Indep. First F	An extension A petition of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition Presentation of An addition Please chaenclosed for Payment by The Director § 1.16 and (including)	on fee in and reprocessing al filing feet all filing feet amounts are all filing endment. Multiple Description and processing this purper or some pater any pater any external reprocessing the feet any external reprocessing feet and reprocess	Minus	an amount of \$een calculated as sheen calculated as sheen calculated as sheen calculated as sheen calculated for previously Paid For is enclosed. unt No. 23-1925 in the amount of \$ in the prize of the charge payers.	Present Extra he amount (Form PT) yment of a fees under	37 C.F.R. v: Sm Rate	§ 1.17(or or f this	Rate x \$18= x \$88= + \$300= Total Transmit quired unated with	Add'l Fee \$ tal is der 37 CFR	
Total Indep. First F	An extension A petition of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition Presentation of An addition Please chaenclosed for Payment by The Director § 1.16 and (including)	on fee in and reprocessing al filing feet all filing feet amounts are all filing endment. Multiple Description and processing this purper or some pater any pater any external reprocessing the feet any external reprocessing feet and reprocess	Minus	is enclosed. unt No. 23-1925 in the amount of \$ eraced to charge paying the required to ensert to e	Present Extra he amount (Form PTC) yment of a fees under	37 C.F.R. v: Sm Rate	§ 1.17(or or f this	Rate x \$18= x \$88= + \$300= Total Transmit quired unated with	Add'l Fee \$ tal is der 37 CFR	
Total Indep. First F	An extension A petition of An addition Claims Reafter Am Presentation of An addition Claims Reafter Am Presentation of An addition Presentation of An addition Please chaenclosed for Payment by The Director § 1.16 and (including)	on fee in and reprocessing al filing feet all filing feet amounts are all filing endment. Multiple Description and processing this purper or some pater any pater any external reprocessing the feet any external reprocessing feet and reprocess	Minus	is enclosed. unt No. 23-1925 in the amount of \$ eraced to charge paying the required to ensert to e	Present Extra he amount (Form PTO) yment of a fees under sure that	37 C.F.R. v: Sm Rate x \$9 x 44 +\$145 Tota t of \$ O-2038 is any addition or 37 CFF this paper ectfully sul	§ 1.17(or or this es reessoci filed	Rate x \$18= x \$88= + \$300= Total Transmit quired unated with	Add'l Fee \$ tal is der 37 CFR	

	0 4 2004				
E	FORMPTO-1449	SERIAL NO.		CASE NO.	•
			10/633,394		568/26
	LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	•	GROUP ART	UNIT
	APPLICANT'S INFORMATION DISCLOSURE		July 31, 2003		367
	STATEMENT		•		
	(use several sheets if necessary)	APPLICANT(S)	: Michael S. Paz	ar	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,754,940	June 29, 2004	Boots		
	A2	6,463,703	October 15, 2002	Mattis		
-	A3	6,363,635	April 2, 2002	England		
	A4	6,279,212	August 2, 2001	Balch		
	A5	6,088,955	July 18, 2000	Nelson et al.		***
	A6	6,088,973	July 18, 2000	Weiss		-
	A7	5,875,529	March 2, 1999	Ollier		
	A8	5,675,876	October 14, 1997	Benedict et al.		
	A9	5,088,167	February 18, 1992	Rahe		
	A10	4,697,316	October 6, 1987	Semon		
	A11	3,435,494	April 1, 1969	Pothier		
	A12	2,075,859	April 6, 1937	Martin		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.				

EXAMINER	DATE CONSIDERED
LAAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.